

APPLICATION FOR

UNITED STATES LETTERS PATENT

SPECIFICATION

Inventor(s): Kensaku Imai and Masato Kitajima

Title of the Invention: METHOD AND APPARATUS FOR AUTOMATICALLY  
REMOVING VECTOR UNIT IN DNA BASE  
SEQUENCE

**Method and Apparatus for Automatically Removing Vector  
Unit in DNA Base Sequence**

**Background of the Invention**

5 **Field of the Invention**

10 The present invention relates to an automatic  
vector unit removing method for automatically removing  
a part of the vector inside a fragment of an object  
DNA when it is taken out of a proliferated vector in  
a DNA cloning. The DNA cloning process is performed  
to proliferate a fragment of the object DNA by  
chemically bonding a clone, that is, a fragment of a  
DNA containing a gene to be proliferated to a DNA  
molecule called a vector, and then proliferating the  
15 vector in cells such as Escherichia coli, etc.

**Description of the Related Art**

A nucleic acid is formed by nucleotide composed of  
a base, pentose, and phosphoric acid. The nucleotide  
is a compound of a nucleoside and a phosphoric acid.  
20 The phosphoric acid forms a polymer through the  
nucleoside to produce either a deoxyribonucleic acid  
(DNA) or ribonucleic acid (RNA).

The bases forming part of the nucleic acid can be  
a purine or a pyrimidine. The purine can be an  
25 adenine A or a guanine G while the pyrimidine can be

a cytosine C or a thymine T.

5  
SUB Q1  
The DNA having the composition called a polynucleotide strand is formed by a strand of the above listed four bases, that is, the adenine A, guanine G, cytosine C, and thymine T, bound in a series. For example, if a DNA is extracted from the chromosome in the cell of a human being and is arranged as a sequence, it can be as long as 1 meter and contains 3 billion bases.

10  
SUB Q2  
Thus, a DNA has a strand of bases, that is, a base sequence linked in the form of a strand. The strand is normally very long. In genetic engineering, a DNA comprising various genes is cleaved for gene recombination, and a DNA fragment having a specific genetic information is extracted from a number of the cleaved DNA fragments. The extracted DNA fragments, that is, object DNA fragments, should be normally proliferated.

15  
20  
Normally, a unique sequence of object DNA fragments is very small in volume, and the object DNA fragments are combined with a vector to perform the cloning for DNA sequencing.

25  
To attain this, the object DNA fragments are chemically bound to the DNA called a vector which is normally a circular DNA. The combination of the

object DNA fragment and the vector DNA, that is, a recombinant DNA, is integrated into an appropriate cell such as a colibacilli (colon bacillus), and the cell is proliferated to produce a large volume of the recombinant DNA. As a result, the cloning process of generating a large volume of the object DNA fragments is successfully performed.

A vector is commonly a DNA having a double helix structure a specific portion of which is cleaved using some restriction enzymes. The object DNA fragment is integrated into the cleaved portion. Before describing the cleaving of the DNA using the restriction enzyme, the structure of the DNA is explained below.

A DNA has the structure of a base sequence, that is, a sequence of bases bound in the form of a strand. Since the DNA strand is directional, ATGCACGA→ is different from ATGCACGA← (which equals AGCACGTA→).

Both ends of the DNA strand are named. Accordingly, the end provided with a hydroxyl group at the position of 3' of a saccharum is called a 3' end. The other end, that is, the end provided with a phosphate group at the position of 5' of a saccharum is called a 5' end. When the DNA strand is described, the 5' end is positioned on the left while the 3' end

SUB P1)

```

10      5'end  ATGCATGCTAGCTAGCT  3'end (strand A)
          |||||
          3'end  TACGTACGATCGATCGA  5'end (strand B)

```

SUB D2)

20

25

FIG. 1 shows how the DNA base sequence is cleaved using the restriction enzyme. In FIG. 1, the restriction enzyme called HpaI shears the DNA at the same positions on the two strands of the DNA whereas the restriction enzymes EcoRI and Hind III shear double strands at different points on the two strands.

As shown in FIG. 1, a number of restriction enzymes can identify nucleotide sequences formed by 6 pairs of bases. The two nucleotide strands in the identification area, that is, the site of the restriction enzyme, are arranged in the opposite directions. Most restriction enzymes indicate different cleaving positions on two strands, thereby forming uneven ends, that is, cohesive ends. The above described object DNA fragments are integrated into the positions where the DNA is cleaved based on the restriction enzymes.

FIG. 2 shows how to mount the object DNA fragments in the vector. In FIG. 2, the circular plasmid DNA molecule is cleaved by the restriction enzymes to obtain linear plasmid DNA molecules having cohesive ends. A plasmid is contained in, for example, bacteria, and can autonomously proliferate unlike the chromosome DNA. The linear plasmid DNA molecule and the object DNA fragments, that is, one of various DNA

[illegible][illegible][illegible]

SUB 03 } 20

SUB 03 } 20

sequence of the DNA fragment processed in the cloning operation should be correctly determined and the bases in unnecessary portions are deleted to take out a DNA fragment having a correct structure. To determine the nucleotide sequence in the DNA fragment, a DNA sequencer is used to automatically read the DNA base sequence.

To know the sequence of A, G, T, and C in the DNA is to understand the genetic information. The sequence technology for determining the base sequence has advanced with the technologies of other fields, and is closely related to the discovery of the restriction enzymes and nucleic acids, and the development in technology for DNA cloning, nucleic acid chemistry, etc.

SUB 4  
Recently, the computer technology has been utilized as one of the sequence methods, thereby enabling an enormous volume of data to be input and accumulated. Thus, computers are required in determining the base sequence.

With the DNA sequencer for automatically reading the base sequence of the DNA, the dideoxy method or the Sanger method is used to determine a base sequence. Normally when a part of one of the two complementary DNA strands is used as a primer, which



can be a trigger in synthesizing a DNA, the DNA synthesis is stopped when a dideoxynucleotide is integrated, and the DNA fragments with variations in length can be obtained. If the dideoxynucleotide is applied corresponding to each base of G, A, T, and C in the DNA synthetic reaction using a primer, then the DNA fragments with variations in length can be obtained with the growth of strands stopped at the position of each base.

FIG. 4 shows a specific nucleotide, and how to obtain the DNA fragments by cleaving the DNA at the adenine A. In this case, a moderate chemical process of removing a piece of nucleotide, that is, the adenine A, from a DNA strand is performed. Only the left fragments provided with a phosphate group at the 5' end are radioactive. If these fragments are processed in a gel electrophoresis, the radioactive fragments are detected by the length of the fragment, that is, at the position corresponding to the molecular weight.

With the DNA sequencer, the DNA fragment is fluorescent-identified as a reactive product in the dideoxy method. As a result, the fluorescent-identified DNA fragments having strands with variations in length are separated through the gel

electrophoresis. A fluorescent color element is excited and luminous at a point on the gel by irradiation of a laser light on the DNA fragment in the gel electrophoresis. The fluorescent light is  
5 detected by a light detector. By detecting the fluorescent light with the time of the electrophoresis, the data of the electrophoresis pattern of the DNA fragments corresponding to each base of the G, A, T, and C can be obtained. The  
10 obtained data is analyzed by the computer and converted into base sequence data.

Usually, the output data of the DNA sequencer includes a DNA base sequence itself and the waveform data used in determining the sequence. The waveform  
15 data corresponds to the data of the gel electrophoresis pattern. In each waveform of the G, A, T, and C, the position of the peak of the fluorescence intensity of the waveform corresponds to the position of the base.

20 However, the number of bases in the DNA base sequence is normally large as described above. Therefore, the DNA sequencer cannot simultaneously determine all base sequences. Therefore, an object DNA whose sequence is to be determined is divided into  
25 a plurality of fragments. Then, the base sequence of

each fragment is determined and they are bound to each other, thereby determining the entire base sequence.

When an object DNA fragment is generated by the above described method, the sequence result as an output of the sequencer contains a part of the base sequence of the vector used in the cloning process in addition to the object DNA fragment. The prior art technology has the problem that it is very important to delete the part of the base.

The vector unit is a part of the bases in the vector, and it is probably contained in the 5' end portion and 3' end portion obtained as sequence results. To generate a correct object DNA fragment, the vector unit should be completely removed. Conventionally, the vector unit has been removed through a homology search, which is a retrieval method for outputting a retrieval result using the base sequence of the vector unit possibly positioned before or after the object DNA fragment base sequence, even if all bases do not completely match. However, this method has the problem that the vector unit cannot be successfully detected because the base sequence of the vector unit may be short, or a mis-sequencing operation, etc. at the 3' end badly affects the vector-unit detection.

SUB (S) 1/5

10

20

25

sub 06)

5  
10

15

SUB (E)

20  
25

[illegible]

SUB Q8

SUB 09)

sequence at the junction of the DNA base sequence is equal to or larger than a predetermined value; and obtaining as a vector unit candidate for the vector unit base sequence an area specified as a result of the second homology retrieval and an area or areas after the specified area.

The vector unit candidate may be removed from the DNA base sequence when the number of the area specified by the second homology retrieval is one.

The method according to the present invention may further comprise the steps of: generating a second forward retrieval key by adding to the forward retrieval key a portion that should be existing before the multiple cloning site of the vector; generating a second backward retrieval key by adding to the backward retrieval key a portion that should be existing after the multiple cloning site of the vector; performing a second homology retrieval on condition that a second similarity value indicating a matching rate between a base sequence corresponding to the second forward retrieval key and a base sequence including a base sequence at a junction of the DNA base sequence is equal to or larger than a predetermined value, and a third similarity value indicating a matching rate between a base sequence

SUB 89  
Cont

SUB 810

[illegible]

The forward vector unit candidate and the backward vector unit candidate may be removed from the DNA base sequence when there is only one candidate respectively for the specified forward and backward vector units, and the specified forward and backward vector units do not overlap each other.

SUBB



SUB III  
Cont

SUB 12

15

SUB 113

SUB B14  
25

at least one of: a program for generating the retrieval key by controlling the first unit; a program for specifying the vector unit base sequence by controlling the second unit; and a program for removing the vector unit base sequence by controlling the third unit.

The second unit may specify, using the retrieval key, a junction between the vector unit base sequence and the object DNA fragment, and the third unit may specify the object DNA fragment by removing the junction and a portion outside the junction from the DNA base sequence.

The second unit may specify as the junction a portion in the DNA sequence in which a number of bases matching a base sequence of the retrieval key is equal to or larger than a predetermined value.

The second unit may specify using the retrieval key a first junction and a second junction between the vector unit base sequence and the object DNA fragment, and the third unit may specify the object DNA fragment by removing from the DNA base sequence the first junction and a portion outside the first junction and the second junction and a portion outside the second junction.

The retrieval key may include a base sequence

SUB 14  
Cont

000000-000000

corresponding to an end portion of the object DNA fragment and a base sequence corresponding to an end portion of the vector unit base sequence, and may specify a candidate for a junction between the vector unit base sequence and the object DNA fragment.

A second retrieval key indicating a base sequence longer than the retrieval key may be generated, and the junction may be specified among the candidates for the junction using the second retrieval key.

The object DNA fragment may be specified by removing the junction and a portion outside the junction from the DNA base sequence.

A storage medium according to the invention is for embodying a program for performing, by a computer, a function of removing a vector unit base sequence from a DNA base sequence which is obtained as a result of performing a cloning process by integrating an object DNA fragment into a vector and includes the vector unit base sequence as a part of a base sequence of the vector and the object DNA fragment. The program realizes the steps of: generating a retrieval base sequence as a retrieval key for use in retrieving the vector unit base sequence from the DNA base sequence based on the vector, a restriction enzyme used to cleave the vector for the cloning process, and a

restriction enzyme used to obtain the object DNA fragment; specifying the vector unit base sequence using the retrieval key; and removing the specified vector unit base sequence to specify the object DNA fragment.

The above described methods and the methods explained in the following embodiment may be realized using computer programs. The methods according to the present invention may be realized using storage media such as diskettes, CDROM, hard disks, mini-disks, RAM, etc.

#### Brief Description of the Drawings

FIG. 1 shows an example of cleaving DNA base sequences using restriction enzymes;

FIG. 2 shows the operation of binding an object DNA fragment into a circular plasmid DNA molecule;

FIG. 3 shows an example of a vector and multiple cloning site;

FIG. 4 shows how to generate a DNA fragment.

FIG. 5 is a block diagram showing a vector removing method of the present invention;

FIG. 6 is a flowchart of the basic process of the automatic vector unit removing method;

FIG. 7 is a flowchart showing the automatic vector

unit removing process according to the present invention;

FIG. 8 shows an example of a vector list stored in the vector data base;

5       FIG. 9 shows the base sequence of the vector PUC18;

FIG. 10 shows the multiple cloning site of the vector PUC18 and the restriction enzyme information;

10       FIG. 11 shows the restriction enzyme list for the vector PUC18;

FIG. 12 is a flowchart showing the entire process performed by the vector unit specification program;

FIGs. 13A, 13B, and 13C show the restriction enzyme site;

15       FIG. 14 shows the object DNA fragment integrated into a vector;

FIG. 15 is a flowchart showing the 5' side retrieval key determining process;

20       FIG. 16 is a flowchart showing the 3' side retrieval key determining process;

FIG. 17 shows the retrieval key for homology retrieving for use in the vector unit specification program;

25       FIG. 18 is a flowchart showing the process of retrieving a primary candidate for the 5' side

boundary portion;

FIG. 19 is a flowchart showing the process of retrieving a primary candidate for the 3' side boundary portion;

5      FIG. 20 is a flowchart showing the process of retrieving a secondary candidate for the 5' side boundary portion;

FIG. 21 shows the homology check on the primary candidate for the 5' side boundary portion;

10      FIG. 22 is a flowchart showing the process of retrieving a secondary candidate for the 3' side boundary portion;

FIG. 23 shows the homology check on the primary candidate for the 3' side boundary portion;

15      FIG. 24 is a flowchart showing the process of determining a cleaved portion to remove a vector unit; and

FIG. 25 shows a configuration of a vector unit removing device according to the invention.

20

#### Description of the Preferred Embodiments

25      FIG. 5 is a block diagram showing the functions of an automatic vector unit removing method of the present invention. In genetics, the vector, for example, a circular plasmid DNA molecule, is cleaved,

SUBSIS

SUBS  
cont

and an object DNA fragment is integrated into the  
cleaved portion in a cloning process. The automatic  
vector unit removing method according to the present  
invention removes the base sequence of a portion of  
5 the vector unit contained in the object DNA fragment  
from the object DNA fragment retrieved from the  
vectors generated in through the DNA cloning process.

In step 1 shown in FIG. 5, a base sequence is  
generated as a retrieval key for use in retrieving the  
10 vector unit base sequence from the DNA base sequence  
obtained as a result of the cloning process. It is  
generated according to the type of vector, the  
restriction enzyme used in integrating the object DNA  
fragment, and the restriction enzyme used in obtaining  
15 the object DNA base sequence. In step 2, the vector  
unit base sequence is specified using the generated  
retrieval key, and the specified vector unit base  
sequence is automatically removed.

For example, a forward retrieval key and a  
20 backward retrieval key are used as the above described  
retrieval keys. These retrieval keys contain the ends  
cleaved by the restriction enzymes corresponding to  
the vector unit and object DNA fragment in the DNA  
fragment in the DNA base sequence obtained as a  
25 cloning result. The keys contain the base sequence

corresponding to the restriction enzyme site before the chemical bonding of the vector unit and the object DNA fragments respectively. The forward retrieval key is positioned before the object DNA fragment while the backward retrieval key is positioned after the object DNA fragment.

In the retrieval performed using the forward and backward retrieval keys in the DNA base sequence obtained as a result of the cloning process, the homology retrieval is performed on condition that a value larger than a predetermined similarity can be obtained. The retrieval result is obtained as a candidate for a conjugative unit between the vector and the object DNA fragment. Of the candidates for a conjugative unit between the vector and the object DNA fragment, the primary candidate at the 5' boundary point corresponds to the forward retrieval key while the primary candidate at the 3' boundary point corresponds to the backward retrieval key.

Since there can be a plurality of the primary candidates respectively at the 3' and 5' boundary points, the retrieval processes are further performed using the second forward and backward retrieval keys according to the present invention. The second forward and backward retrieval keys are the same as



the above described forward or backward retrieval keys, in the multiple cloning site of a vector, provided with the adjacent portions before or after the key. The homology retrieval is performed on these forward and backward retrieval keys. In consideration of the retrieval result, all areas before or after the retrieval result are specified as a candidate for the vector unit.

If a single candidate for a vector unit is detected before and after the object DNA fragment respectively, and if the forward vector unit candidate does not overlap the backward vector unit candidate, then the specified vector unit candidates are automatically removed as vector units.

Thus, according to the present invention, the primary candidates at the boundary portions are obtained using, for example, the base sequence of the restriction enzyme site as the forward retrieval key and backward retrieval key. Then, a candidate for a vector unit is obtained by the homology retrieval based on the second forward and backward retrieval keys including the primary candidates and portions of the base sequences before and after the forward and backward retrieval keys, respectively. Thus, the vector unit can be automatically removed when an

approximate candidate has been obtained for the vector unit.

FIG. 6 is a flowchart showing the basic process of the automatic vector unit removing method according to the present invention. As shown in FIG. 6, the method comprises steps S6 through S9. In step S6, the type of the vector used in the cloning process is selected from the vector list and entered. In step S7, the restriction enzyme used in the cloning process is selected from the restriction enzyme list and entered. In step S8, a retrieval key is generated based on the information about the vector and restriction enzyme, and the vector unit is retrieved according to the retrieval key. That is, the homology between the retrieval key and the multiple cloning site is checked, and the vector unit specification program is executed to select the vector unit. In step S9, the vector unit specified by the vector unit specification program is removed, thus terminating the process.

FIG. 7 is a flowchart showing the automatic vector unit removing process (corresponding to steps S8 and S9 shown in FIG. 6) according to the present invention. In the automatic vector unit removing process, a vector unit is automatically removed from

a DNA base sequence read using a DNA sequencer (fragment).

As shown in FIG. 7, the process includes steps S11 to S18. A clone (DNA fragment) to be processed in the automatic vector unit is selected in step S11. In step S12, the automatic vector unit removing process is specified by the user from the menu displayed on the display unit. Thus, the automatic vector unit removing dialog (interactive menu) is displayed. In step S13, the used vector is selected from the vector list through the dialog.

Using the automatic vector unit removing dialog, the restriction enzymes used in generating the cleaved portions at the front and back of the vectors and the object DNA are selected in steps S14 through S17. Normally, four types of restriction enzymes are selected, but the selected restriction enzymes are not limited to four types. In step S18, a vector unit specification and removal program is executed to remove the vector unit based on the selected restriction enzymes, thus terminating the process.

Before describing in detail the vector unit specification and removal program, the processes in other steps will be described.

First, in step S11, a clone whose vector is to be

automatically deleted is selected from the clones displayed in the main window on the display unit. When the user selects the vector unit specification and removal menu from the menu items displayed on the display unit in step S12, the vector/restriction enzyme selection dialog is opened. The vector names entered in the vector data base are listed in the dialog, and an actually used vector is selected from the vector list in step S13.

FIG. 8 shows an example of a vector name list entered in the vector data base. PUC 18 is selected from the list shown in FIG. 8 according to the present embodiment.

If a vector is selected, the data of the base sequences in the multiple cloning site of the selected vector and the data of the restriction enzyme site are extracted, and the name of the restriction enzyme corresponding to the restriction enzyme site in the multiple cloning site is displayed.

FIG. 9 shows the base sequence of PUC 18 stored in the vector data base. In FIG. 9, the multiple cloning site is indicated as underlined (starts from A, the second from the end of line 5, and ends at C, the 26th from the end of line 6).

In step S13, the multiple cloning site and the

5  
10  
15  
20  
25

SUB (B17)

*SUB 17 cont.* for use in cleaving the restriction enzyme site in the multiple cloning site of the vectors.

If four restriction enzymes are selected in steps S14 through S17, then a retrieval key for use in retrieval for removing a vector unit in the vector unit specification program in step S18. Normally, a DNA strand can be cleaved using restriction enzymes different between the vector side and DNA fragment side. In this example, however, it is assumed that the same restriction enzyme is used for both vector and DNA fragment sides. That is, according to the present embodiment, HIND III is used as the restriction enzyme on the 5' sides of the vector and DNA fragment, while XBA I is used as the restriction enzyme on the 3' sides of the vector and DNA fragment. As shown in FIG. 2, the base sequence at the binding point between the vector and the DNA fragment is the same as the base sequence of the restriction enzyme site.

*SUB 18* FIG. 12 is a flowchart showing the vector unit specifying and deleting program in step S18 shown in FIG. 7. This process comprises steps S21 through S25.

First, the base sequence of the retrieval key is determined in step S21. Based on the type of vector

and the data about the restriction enzymes, two retrieval keys on the 5' and 3' ends, that is, the forward and backward retrieval keys, are generated. In step S22, a homology retrieval is performed using the forward and backward retrieval keys. Thus, a list of primary candidates for a boundary portion representing the boundary between the vector and DNA fragment on the 5' and 3' sides is generated. There can be a plurality of primary candidates for the boundary portion on both 5' and 3' sides.

In step S23, a list of secondary candidates for the boundary portion is generated. That is, a homology check is made between the primary candidate for a boundary on the 5' side and its forward area (the preceding area) and the primary candidate for a boundary on the 3' side and its backward area (the following area), and the multiple cloning site. Thus, the secondary candidate for a boundary portion can be obtained. In step S24, it is checked that the secondary candidate for each boundary portion is unique (only one), and the relationship in position is checked between the secondary candidates on the 5' and 3' sides. If the relationship in position is consistent, then the secondary candidate for the boundary portion is determined as a cleaved portion

in step S25, thereby terminating the process.

FIGs. 13A through 13C show the restriction enzyme site and the area in the restriction enzyme site after the cleaving process. The restriction enzyme site is not always cleaved at the same points in the double-stranded. That is, there can be the following cases:

(1) A single-stranded area is found on the 3' side as shown in FIG. 13A;

(2) A single-stranded area is found on the 5' side as shown in FIG. 13C;

(3) Double strands are cleaved at the same points as shown in FIG. 13B.

In this example, the term "the single-stranded area is found on the 3' side" means that the area B3 which becomes a single-strand remains on the 3' side after the cleaving process shown in FIG. 13A. Likewise, the term "the single-stranded area is found on the 5' side" means that the area B5 which becomes a single-strand remains on the 5' side after the cleaving process shown in FIG. 13C. The 3' and 5' sides refer to the position of the strand A.

In FIGs. 13A through 13C, the portion remaining in the double-stranded state on the 5' side in the restriction enzyme site is referred to as an area A (the same for strand B). Likewise, the portion



remaining in the double-stranded state on the 3' side  
 in the restriction enzyme site is referred to as an  
 area C. The portion provided on the 5' side as a  
 single strand is referred to as an area B5. The  
 5 portion provided on the 3' side as a single strand is  
 referred to as an area B3. Even if the vector and the  
 object DNA fragments are cleaved using different  
 restriction enzymes, the vector can be bound to the  
 object DNA fragments if the base sequences match in  
 10 the area B. FIGs. 13A through 13C indicate the  
 restriction enzyme site before the cleaving process.

The restriction enzyme site and the cleaving  
 operation are described further in detail by referring  
 to a practical example. As described above, the  
 15 double stranded DNA is represented by a single strand  
 A as follows. "^" indicates a cleaving point).

The representation "ACTA^GT" indicates that the  
 strands A and B are cleaved as follows.

20                   -----+-----  
 strand A 5' ··A C T A|G T··· 3'  
                   +----+  
 strand B 3' ··T G|A T C A··· 5'  
                   -----+-----

25

This corresponds to the case shown in FIG. 13C where AC indicates area A, TA indicates area B5, GT indicates area C.

Similarly, "A<sup>^</sup>CTAGT" indicates the following  
5 cleaving form.

```

      -----+-----
strand A  5'  ··A|C T A G T··· 3'
              +-----+
10 strand B 3'  ··T G A T C|A··· 5'
      -----+-----

```

This corresponds to the case shown in FIG. 13A where A indicates area A, CTAG indicates area B3, T  
15 indicates area C.

When the object DNA fragments are integrated into the vector, a total of four restriction enzyme sites, that is, the portions before and after the portions of the object DNA fragments cleaved when the object  
20 DNA fragments are generated and the portions before and after the cleaved portions of the vector are used. Normally, the restriction enzymes for the above described four cleaved portions are selected independently. If a portion provided with a cleaved  
25 single-stranded area equals a base sequence in a

single-stranded area to be bound correspondingly, then the ends of the two fragments can be bound to each other.

For example, between ACTA<sup>^</sup>GT and TGTA<sup>^</sup>CA, each of the single-stranded areas is provided on the 5' side, the base sequence of the single-stranded area equals "TA", and they can be bound to each other.

On the other hand, between ACTA<sup>^</sup>GT and TG<sup>^</sup>TACA, each of the single-stranded areas is provided on the 5' side as in the above mentioned case, but they have the single-stranded areas in different positions, and therefore the fragment ends cannot be bound to each other.

FIG. 14 shows the junction of the restriction enzyme sites in which the vector and the object DNA fragments are bound to each other. In FIG. 14, the vector 5 side is referred to as V1, the vector 3' side is referred to as V2, the object DNA fragment 5' side is referred to as F1, and the object DNA fragment 3' side is referred to as F2. For example, the A area of the restriction enzyme site of the cleaved portion on the vector 5' side is represented by "V1A".

With this representation, the sequence of the junction on the 5' side in the base sequences of the junction can be [V1A] + [V1B5] + [F1C] if a single-

stranded area exists on the vector side, [V1A] + [F1C] if no single-stranded area exists, and [V1A] + [F1B3] + [F1C] if the single-stranded area is located on one end of the object DNA fragment. The sequence of the junction on the 3' side in the base sequences of the junction can be [F2A] + [F2B5] + [V2C] if a single-stranded area exists on the object DNA fragment side, [F2A] + [V2C] if no single-stranded area exists, and [F2A] + [V2B3] + [V2C] if the single-stranded area is located on the vector side.

According to the present embodiment, the base sequence of the 5' side junction and the base sequence of the 3' side junction are used as a 5' side (forward) retrieval key and a 3' side (backward) retrieval key respectively.

FIG. 15 is a flowchart showing the 5' side retrieval key determining process. When the process is started as shown in FIG. 15, it is determined in step S30 whether or not a single-stranded area of the restriction enzyme site on the vector 5' side exists. If it exists, it is further determined whether the single-stranded area is located on the 5' or 3' side. If it is located on the 5' side, it is determined in step S31 whether or not the single-stranded area of the restriction enzyme site on the object DNA fragment

5' side is located on the 5' side. If it exists there (yes in S31), it is further determined in step S32 whether or not the base sequences [V1B5] and [F1B5] in respective single-stranded areas match each other (when read from the 5' side). If they match (yes in S32), then the cleaved portions can be joined. In step S33, the 5' side retrieval key is defined as [V1A] + [V1B5] + [F1C], thereby terminating the process.

10 If it is determined in step S31 that the single-stranded area on the object DNA fragment 5' side is not located on the 5' side (no in S31), and if it is determined in step S32 that the base sequences of the single-stranded area do not match each other even if the single-stranded area is exist (no in S32), then it is determined in step S34 that the restriction enzyme has been mistakenly selected, and control is returned to the restriction enzyme selecting process, thereby repeating the process.

20 If it is determined in step S30 that no single-stranded area exists on the vector 5' side, it is further determined in step S35 whether or not a single-stranded area exists in the restriction enzyme site on the object DNA fragment 5' side. If not (no in S35), the retrieval key on the 5' side is defined

1007660-022001  
100220-092200

SUB E19

as [V1A] + [F1C] in step S36, and the process terminates. If it is determined in step S35 that the single-stranded area exists for the object DNA fragment (yes in S35), then it is determined in step S34 that the restriction enzyme has been mistakenly selected, and control is returned to the restriction enzyme selecting process.

If it is determined in step S30 that the single-stranded area to be located on the vector 5' side actually exists on the 3' side, then it is determined in step S37 whether or not the single-stranded area in the restriction enzyme site to be located on the object DNA fragment 5' side really exists on the 3' side. If yes in step S37, it is determined in step S38 whether or not the base sequence [V1B3] equals [F1B3] in the single-stranded area. If yes in step S38, the retrieval key on the 5' side is defined in step S39 as [V1A] + [V1B3] + [F1C] and the process terminates. On the other hand, if the single-stranded area does not exist on the 3' side in step S37 (no in S37), or if it actually exists there but it is determined in step S38 that the two sequences in the single-stranded area are not equal to each other (no in S38), then it is determined in step S34 that the restriction enzyme has been mistakenly selected, and

500 \$20

500 \$20

The vector unit specification program in the present embodiment is described as follows by referring to practical examples. FIG. 17 describes the forward retrieval key and backward retrieval key according to the present embodiment. In this example, an object DNA fragment is incorporated into the multiple cloning site of the vector PUC18 described

by referring to FIG. 10. That is, it is assumed that the HIND III is used as the restriction enzyme on the 5' sides of the vector and object DNA fragment, and that the XBA I is used as the restriction enzyme on the 3' sides of the vector and object DNA fragment. In this case, the base sequence depending on the restriction enzyme site at the junction between the vector and object DNA is the same as the base sequence in the restriction enzyme site as shown in FIG. 17. Therefore, the forward retrieval key, that is, the retrieval key on the 5' side, is equal to the base sequence of the restriction enzyme site HIND III, and the backward retrieval key, that is, the retrieval key on the 3' side, is equal to the base sequence of the restriction enzyme site XBA I. In the multiple cloning site shown in FIG. 10, the portion other than the area replaced with the object DNA fragment is referred to as the residual multiple cloning site according to the present embodiment.

FIG. 18 is a flowchart showing the process of retrieving the primary candidate for the boundary on the 5' side using the 5' side retrieval key shown in FIG. 17. When the process starts as shown in FIG. 18, the homology retrieval is performed in the base sequence of the object clone using the 5' side

SUB 021



SUB Q21  
cont

5 retrieval key in step S51. The retrieval keys and a retrieval results obtained as areas indicating a homology exceeding a predetermined value (the number of bases matching in, for example, 6 bases) are listed as the primary candidates for boundary portions in step S52, then terminating the process.

10 FIG. 19 is a flowchart showing the process of retrieving the primary candidate for the boundary on the 3' side. The retrieval process is almost the same as the retrieval process shown in FIG. 18. Steps S54 and S55 correspond to steps S51 and S52 shown in FIG. 18. The process is different from that shown in FIG. 18 in that retrieval uses the backward retrieval key, that is, the 3' side retrieval key, to produce a list of the primary candidates for the boundary on the 3' side.

20 FIG. 20 is a flowchart showing the process of retrieving the secondary candidates by selecting secondary candidates from the primary candidates for the boundary portion obtained as shown in FIG. 18, that is, normally from a plurality of primary candidates. Of the restriction enzyme site used in cleaving the 5' side of the multiple cloning site of the vector, the area to the 5' side of the restriction enzyme site is defined in step S61 as the 5' side

25

residual multiple cloning site 5MCS when the process starts as shown in FIG. 20. In FIG. 17, the 5MCS is the same as the 5' side retrieval key.

Then, in step S62, when the vector data base includes base sequences outside the multiple cloning site, a sequence containing the base sequence and the 5' side residual multiple cloning site 5MCS is defined as a 5' side residual vector area 5VA. That is, when five base sequences are included to the 5' side of the 5' side retrieval key as shown in FIG. 17 (in this example, to the 5' side of the 5' side residual multiple cloning site), the five bases to the 5' side of the 5MCS are added to the 5MCS in defining the 5' side residual vector area 5VA in step S62. In FIG. 17, the 5VA is the base sequence GTGCCAAGCTT. If only the base sequence in the multiple cloning site is included in the vector data base, then the 5VA is assumed to equal the 5MCS. However, since the data base normally includes the base sequences before and after the multiple cloning site, the homology check is effective as described below.

After the 5' side residual vector area 5VA has been obtained, the processes in steps S63 through S66 are performed on all elements in the list of the primary candidates for the boundary portion as shown

in FIG. 18, and the homology check is then made. First, in step S63, the area of the DNA base sequence to the 5' side of each candidate, including each of the primary candidates (LIST5) for the boundary portion, is defined as the homology check area 5HCA for the candidate. In step S64, the number of bases of the 5VA, the number of bases of the 5HCA, and a predetermined value (the number 20 in this example) are compared with each other. The smallest of the three numbers is obtained as the number of bases HCB for use in the homology check. In step S65, the number of bases equal to the number of HCBs are retrieved on the 3' side of the 5VA and checked in the homology check in comparison with the same number of bases on the 3' side of the 5HCA. If the homology check indicates a value larger than a predetermined value, then the same number of bases as the HCBs on the DNA base sequence side are defined as the secondary candidates for the 5' side boundary portion in step S66, thereby terminating the process. The predetermined number 20, which is used in comparing for obtaining the HCBs, indicates an appropriate constant, but the value itself does not have a special meaning.

FIG. 21 shows the homology check for use as a

process of retrieving the secondary candidates for the boundary portion on the 5' side. In FIG. 21, the area to the 5' side of the clone base sequence, including the primary candidates for the 5' side boundary portion, is defined as the area 5HCA to be processed in the homology check. From the area 5HCA, the number of bases in the HCB equal to the number of bases in the 5VA are retrieved to be processed in the homology check. Thus, the secondary candidates for the boundary portion are determined.

FIG. 22 is a flowchart showing the process of determining the secondary candidates for the boundary portion on the 3' side. FIG. 23 shows the secondary candidate retrieval process on the 3' side. These processes are almost the same as those shown in FIGs. 20 and 21 and the detailed explanation is omitted here.

FIG. 24 is a flowchart showing the process of determining the finally cleaved portion to remove the vector unit. When the process starts as shown in FIG. 24, it is determined in step S81 whether or not one secondary candidate is specified for each of the boundary portions on the 5' and 3' sides. If yes, it is determined in step S82 whether or not the secondary candidates for the 5' and 3' sides are inconsistent

in position to each other, that is, whether or not the secondary candidate on the 3' side comes before the secondary candidate on the 5' side. It is further determined whether or not the two secondary candidates overlap each other. Unless the secondary candidates are inconsistent, they are determined to be cleaved portions, thereby terminating the process in step S83.

On the other hand, unless there is a single secondary candidate on each of the 5' and 3' sides in step S81, or if the positions of the two secondary candidates indicate inconsistency in step S82, then it is determined in step S84 that the vector unit cannot be specified and the process terminates.

If the cleaved portions are thus determined, a vector unit removing program in step S18 shown in FIG. 7 is executed. The vector unit removing program removes the vector unit including the cleaved portion determined in FIG. 24. That is, the 5' side base sequence including the secondary candidate for the 5' side boundary portion determined to be a cleaved portion, and the 3' side base sequence including the secondary candidate for the 3' side boundary portion, are removed as a vector unit.

The vector unit can also be removed from the cleaved portion through the restriction enzyme in the

restriction enzyme site, not completely cleaved as a  
cleaved portion, that is, the entire unit including  
all base sequences in the restriction enzyme site.  
Practical embodiments of the present invention are not  
5 limited to the above described applications.

Various retrieval results such as boundary portion  
primary candidate retrieval results, boundary portion  
secondary candidate retrieval results, etc. can be  
identified on the display unit. A vector unit to be  
10 deleted can also be easily identified.

THE METHODS AND PROCESSES DESCRIBED ABOVE CAN BE  
realized using an automatic control device such as a  
computer. As shown in Fig. 25, the control device  
according to the invention comprises a processing  
15 device 10, memory 20, display 30, input unit 40, and  
data reading device 50.

THE PROCESSING DEVICE 10 INCLUDES A VECTOR INPUT  
unit 11 which performs the vector input process of  
step S6 in Fig. 6, restriction enzyme input unit 12  
20 which performs the restriction enzyme input process  
of step S7, vector unit specifying unit 13 which  
performs the vector unit specification process of step  
S8, vector unit removing unit 14 which performs the  
vector unit removing process of step S9. The  
25 processing device 10 may further include a retrieval

key creating unit 15 which performs the retrieval key creating process in step S8 (step S21 in Fig. 12) independently.

5 The memory 20 stores the vector list including the names of the vectors used in step S13 in Fig. 7 and the corresponding information, and the restriction enzyme list including the names of the restriction enzymes used in steps S14 through S17 and the corresponding information. The memory 20 may further  
10 store the programs used for realizing the above described methods and processes which include the retrieval key creating program, the vector unit specification program, vector unit removal program, etc. A hard disk, RAM, ROM, or other types of  
15 information storage unit can be used for the memory 20.

The display 30 displays the above described programs and lists. The user inputs commands and the information necessary for selecting the programs,  
20 vectors, and restriction enzymes, and for performing the programs according to the contents indicated on the display 30, through the input unit 40.

The data reading device 50 reads data stored in a storage medium, which are used for performing the  
25 methods and processes and including the above

described lists and programs, to inform the processing device 10. A magnetic disk, an optical disk, an optical-magnetic disk, or other types of storage mediums can be used for the storage medium.

5 As described above in detail, normally a plurality of primary candidates for a boundary portion can be obtained by performing the homology retrieval using as a retrieval key a very short base sequence at the boundary portion between the vector unit and the  
10 object DNA fragment, and a further homology check is made on the primary candidate to correctly specify a vector unit according to the present invention. If a sequencing operation is mistakenly performed on the 3' end side when the sequencer outputs data, the  
15 present invention successfully removes a vector unit on the 3' end side. Furthermore, the user can also remove the portion not automatically removed according to the prior art technologies by displaying the retrieval results as separable units on the display  
20 unit. Thus, the vector unit can be retrieved with precision in a short time.